

# Decision Trees User Manual

Author: Jiří Vitinger Library: DecisionTree.dll Runnable class: DecTreeMain



#### Content:

1	1 INTRODUCTION TO DECISION TREES					
2	2 DECISION TREES - USER INTERFACE					
2	2.1	MAIN WINDOW	.4			
	2.1.1	Menu	.4			
	2.1.2	Tree view	.6			
2	2.2	GENERAL PROPERTIES - DIALOG	.7			
2	2.3	CART PROPERTIES - DIALOG	.7			
2	2.4	ID3 PROPERTIES - DIALOG	.7			
2	2.5	DATA FOR BUILD - DIALOG	.8			
2	2.6	DATA FOR CLASSIFY - DIALOG	.9			
2	2.7	DECISION TREE INFORMATION - DIALOG	10			
2	2.8	STEP INFO - DIALOG	10			
3	3 DECISION TREES - TUTORIALS					
3	3.1	BUILDING THE DECISION TREE	12			
3	3.2	CHOOSING BETWEEN ID3 AND CART METHODS	14			
3	3.3	CLASSIFICATION DATA	14			
4	4 REQUIREMENTS					
5	5 SAMPLES					



### **1** Introduction to Decision Trees

- Decision tree is a graph of decisions (nodes) and their possible consequences (edges).
- Decision trees are constructed in order to help with making decisions.
- Decision making with decision tree is a common method used in data mining.



An example of a decision tree - according to the weather we would like to know, if it is good time to play some game.

Decision tree describes a tree structure in which leaves represent classifications and edges represent conjunctions of features that lead to these classifications. A decision tree can be learned (built) by splitting the source data set (training set) into subsets. This splitting is based on an attribute value test. This process is repeated on each derived subset in a recursive manner. The recursion is completed when the splitting is either non-feasible, or a singular classification can be applied to each element of the derived subset.

Two methods of learning are implemented in this software: **CART** and **ID3**. You can **build** a tree directly or you can see each **step** of this process. Then you can **browse** by the tree, **zoom**, **shift** etc. Finally you can **classify** your data using the prepared tree.

Detailed information about decision trees can be found at the following links: <u>http://en.wikipedia.org/wiki/Decision\_tree</u> <u>http://www.cs.ubc.ca/labs/lci/CIspace/Version4/dTree/</u> <u>http://www.cise.ufl.edu/~ddd/cap6635/Fall-97/Short-papers/2.htm</u> <u>http://www.doc.ic.ac.uk/~sgc/teaching/v231/lecture11.html</u>



# 2 Decision Trees - User Interface

### 2.1 Main Window

You can see a menu, tool bar, and tree view in the main window. You can manage all tasks (details can be found bellow) in the menu. Buttons of the tool bar are connected to the most important commands of menu. You may use several buttons for setting the view in the tree view (details can be found bellow).

🌺 Decision Trees 📃 🗖 🔀
Main View Settings Command
outlook = 'Sunny' homidity = ? No humidity = 'High' humidity = 'Normation windy = 'False' No Yes Yes Yes Yes Yes Yes No

### 2.1.1 Menu

### 2.1.1.1 Main

• **Open tree...** This command loads a previously saved tree from XML file. The XML file has to be in a special format. Use only files created by this software. (It has an equivalent on the tool bar.)

Open tree... Save tree... Close

# • Save tree ...

This command saves current tree to the XML file in a special format. (It has an equivalent on the tool bar.)

#### • Close

This command closes the Main Window and returns user to the main application (Knocker).

### 2.1.1.2 View

You can find all settings for the tree view in this section. Each item in this submenu represents an attribute of a tree node. You can make decision whether this item should be displayed directly in the tree view or not. You can turn on/off each item individually or switch all items at once.

 Split case from parent
 Split condition for chidren
 Result class Probability of class in node Count of records
 View all View nothing



	General properties	
2.1.1.3 Settings	CART properties	
Each item in this sub menu opens a special dialog for specification of some settings.	ID3 properties	
These items are described bellow in more detail.	Data for build	
(Itom Tree information has an equivalent on the tool har	Data for classify	
(nem free mornation has an equivalent on the tool bal)	Tree information	

### 2.1.1.4 Command

#### • Set active method

You can choose one of the implemented methods for tree building in this submenu. Currently CART and ID3 methods are available.

Set active method Build tree Step building tree Clasiffy

#### • Build tree



This command builds a decision tree with respect to the actual settings. Selected method will be used. (This command has an equivalent on the tool bar.)

• Step building tree

This command makes a first step of building tree process. Then you may explore details of all algorithm possibilities. You can also watch the way in which the best possibility is chosen. See the "Step Info Window" section for details. (It has an equivalent on the tool bar.)

#### • Classify

This command classifies your data using the current decision tree. The result is stored in a new table.



### 2.1.2 Tree view

You can see nodes, edges and some important attributes associated with the nodes in the tree view. Which attributes should be visible can be changed in submenu "View".



A window containing all attributes which are associated with the node appears after clicking at some node. You can see an example of this window below.

InfoV	InfoWindow 🛛 🛛				
	Name	Value			
•	Condition from parent	milk = '0'			
	Split	fins = ?			
	Result class	4			
	Probability	0,590909090909091			
	Number of records	0			
*					

### 2.1.1.5 Buttons in the tree view tool bar



Zoom in and zoom out.

\* \* \* \*

Zoom in and zoom out axis independently.

 $\mathbf{a}$ 

 $\mathbf{\hat{\lambda}}$ 

Set exact space of each node in the pixels.

Fit the tree to the window.

21

Fit the tree to the window always when resizing the window. Toggle button, default is pushed.



### 2.2 General Properties - dialog

You can set parameters which are important for all methods in this window.

General Properties 🛛 🔀				
Maximal depth of the tree: Zero (tolerance for real numbers):		20 0,00	001	
	Ok		Cancel	

#### Maximal depth of the tree

This parameter restricts maximal tree depth. This is useful especially in case of large trees. Default value is 20 and usually you don't need to change it.

#### Zero (tolerance for real numbers)

This value is used during the comparison of two real numbers (double). If *abs(number1 - number2)* <= *Zero* then we say that *number1 = number2*.

### 2.3 CART Properties - dialog

CART Properties 🔀			
index:			
C 2.p1.p2			
C p1 . log(p1) + p2 . log(p2)			
Ok Cancel			

There is a value called **Diversity** which is counted for each possible branch in the CART algorithm. You can choose one of the three ways to count it. The value p1 is probability of first result class etc. (CART method works only with two result classes - its tree is always a binary tree.)

### 2.4 ID3 Properties - dialog



There is a counted **Information Gain** for each splitter (possibility how to split data in node) in the ID3 algorithm. Usually the more attribute values the more Information Gain. First check box is used for defense against multi-valued attributes (like unique id of each record).



If **the second check box** is checked, each chosen splitter will have to have the Information Gain higher or equal as the average Gain Information of every splitter in the node.

In most cases, the best choice is to have the both check boxes checked.

# 2.5 Data for build - dialog

Data should be set up in this dialog before the tree is built.

Data for build		×	
Get data Iris			
Select columns for building the t	ree.		
Available columns:		Selected columns:	
sepal_lenght - (double)	Add >>	sepal_lenght - (double)	
petal_length - (double)	<< Remove	petal_length - (double)	
petal_width - (double) class - (varchar)		class - (varchar)	
	Add All		
	Clear		
Select numerical attributes. (Th	e others are cate	egorical.)	
Available columns:		Selected columns:	
sepal_lenght - (double) sepal_width - (double)	Add >>		
petal_length - (double)	<< Remove		
class - (varchar)			
	Add All		
Clear			
Column with the class information: class - (varchar)			
Working colum	n (will be created	d): treenode	
		OK Cancel	

#### Get data...

Click on this button to choose the data source (called version) as it is defined in the main application (Knocker).

#### Select columns for building the tree.

Select columns (attributes) for building the tree, algorithm will ignore the others.

#### Select numerical attributes. (The others are categorical.)

The numerical attributes are managed in a different way in ID3 algorithm. The splitter doesn't split the data in so many branches as is the count of attribute values, but into two intervals. Usually, for numerical attributes is much better to select them in this dialog. The result decision tree will be simpler and smaller.

#### Column with the class information

This field represents name of the column which will not be used to split the data and whic contains the goal class of record.



#### Working column (will be created)

It is the column for temporary information. It should have a name different from all other attribute names in the table. It is created automatically.

### 2.6 Data for classify - dialog

You have to set up data in this dialog before every data classification.

Data for classify	X			
Get data				
Columns used in the tree:	Columns in the table:			
animal_id hair feathers eggs milk airborne aquatic predator toothed backhone	animal_id hair feathers eggs milk airborne aquatic predator toothed backhone			
The columns in the tree has to be a sub	oset of the columns in the table!			
This condition is now: OK				
Working column (v Column for a result class information (w	vill be created): treenode			
	OK Cancel			

#### Get data...

Click on this button to choose the data source (called version) as it is defined in the main application.

The columns in the decision tree classification have to be a subset of the columns in the data source table. The big colored label in the middle part of the dialog tells you whether this condition is met (OK) or not.

#### Working column (will be created)

It is the column for some temporary information. It should have a different name from the all other attributes names in the table. It will be created automatically.

#### Column for result class information (will be created)

The result class will be stored to this column. It should have a different name from all other attribute names in the table. It will be created automatically.



### 2.7 Decision tree information - dialog

You will find some properties of currently displayed decision tree in this window.

Decision Tree Information 🛛 🛛 🔀		
Used columns:	animal id hair feathers eggs milk airborne aquatic predator	
Type of a result class: STRING		

### 2.8 Step info - dialog

You can see the details of tree building process in this window. Everything is read-only.

Step Info 🔀				
Current method: ID3 According to the Gain Ratio, but		ent settings, the best splitter is the splitter with the biggest formation Gain cannot be smaller than the Average Gain.		
Current node:	Depth: 1 Condition from parent	node:		
Best splitter:	<pre>petal_length &lt; 3?</pre>			
Splitters:		Description of selected splitter:		
Splitters:           sepal_width < 3.6 ?		Name: petal_length < 3 ? Count of subnodes: 2 Type: NUMERICAL Information: 1,58496250072116 Subnodes Information: 0,66666666666666667 Information Gain: 0,918295834054489 Average Gain: 0,358538069645638 Gain Ratio: 1 Split Information: 0,91829583405449		
Find the best :	splitter	Next step Run Cancel		

#### **Current method**

This text describes you current method and its settings.

#### Current node

There is a short description of the current node whereas the splitters are defined. This node has a red color in the tree view for your better orientation.



#### Best splitter

Name of the best splitter - splitter was chosen by the current method.

#### Splitters

You can browse all the splitters (all possibilities for splitting the data) in the current node. You can see detailed information about selected splitter on the right panel.

#### Find the best splitter

Click on this button if you lost the best splitter and you would like to select it to display its detail. (Clicking on the blue name of the best splitter causes the same action.)



# **3** Decision Trees - Tutorials

### 3.1 Building the Decision Tree

This tutorial shows you step by step, how to build your own decision tree from your training set.

#### 1. Prepare your data

You need a table of classified records. You can see an example of such table on the picture below.

🕶 outlook	temperature	humidity	windy	play
Overcast	Hot	High	False	Yes
Overcast	Cool	Normal	True	Yes
Overcast	Mild	High	True	Yes
Overcast	Hot	Normal	False	Yes
Rainy	Mild	High	False	Yes
Rainy	Cool	Normal	False	Yes
Rainy	Cool	Normal	True	No
Rainy	Mild	Normal	False	Yes
Rainy	Mild	High	True	No
Sunny	Hot	High	False	No
Sunny	Hot	High	True	No
Sunny	Mild	High	False	No
Sunny	Cool	Normal	False	Yes
Sunny	Mild	Normal	True	Yes

In the table there are several categorical attributes (columns) and the last one is the goal class of record.

#### 2. Add your table as version into the main application

This process is very simple and it is described in another part of documentation.

#### 3. Run the module Decision Trees

#### 4. Set the data for building

Click Settings - Data for build... You will see the dialog described above. For example set it to the state as is shown in the picture below.

Notice, that there is no numerical attribute. The last column "play" is chosen as the goal-class column. Working column is still default.



Data for build 🛛 🛛 🛛					
Get data Weather					
Select columns for building the	Select columns for building the tree.				
Available columns: outlook - (varchar)	Selected columns:				
temperature - (varchar) humidity - (varchar)	temperature - (varchar)				
windy - (varchar) play - (varchar)	windy - (varchar) play - (varchar)				
	Add All				
1					
Select numerical attributes. (Th	e others are categorical.)				
Available columns:	Selected columns:				
outlook - (varchar) temperature - (varchar)	Add >>				
humidity - (varchar) windy - (varchar)	<< Remove				
play - (varchar)	Add All				
	Clear				
Lolumn with the class information: play - (varchar)					
Working colum	in (will be created): [treenode				
	OK Cancel				

# 5. Click 🕨 or 🛤

Pressing the first button invokes running the whole building process at once, the second one means stepping this process. Stepping is described in more detail in the section about Step info - dialog above.

When you are building the tree from some big training set, (more than 1000 records) be very patient! It may last for several minutes! For this reason, you can set the smaller tree depth limit in the General properties.



Progress bar increases its value just when the algorithm reaches some node and places there some records. Then according to the number of these placed records progress bar changes its value. Because of this progress bar updating there can be a situation when the value rises very slowly or stops rising for a while.



# 3.2 Choosing between ID3 and CART methods

You can select one of the two implemented algorithms before you start building process. Detail specifications of these methods are following:

#### CART

- only 2 classes
- only binary trees are possible, each splitter creates two sub nodes (*attr = val, attr != val*)
- cannot work with numerical attributes (even if you set them in Data for build dialog as numerical, they will be considered categorical)

#### ID3

- more classes
- works with both categorical and numerical attributes
- categorical splitter select one categorical attribute and makes one sub node for each its present value
- numerical splitter makes two sub nodes (*attr < val, attr >= val*)
- this is the default method and usually has better results than CART



The picture shows you how to select the method of building tree.

### 3.3 Classification data

Classification of your data has a couple simple steps as described in this tutorial.

#### 1. Prepare the decision tree

You can perform this step by building a tree from training set (see above) or by loading an existing tree from XML file.



#### 2. Set data for classification

Click Settings - Data for classify... The dialog described above is shown.

#### 3. Click Command - Classify

Now, you have to choose a name for your new table (new version) which will contain your classified data. Classification will start after the name confirmation. It is usually a very quick process.

#### 4. Enjoy your classified data

You can see the classified data in the main application under the name you chose before. The new table (version) will be descendant of starting data table.

### 4 **Requirements**

Necessary components for correct running of this module:

- all common components of main application Knocker
- DecisionTree.dll
- DMTransformStruct.dll
- PtreeViewer.dll
- GuiExt.dll
- Gui.dll

The main runnable class is DecTreeMain in DecisionTree.dll.

### 5 Samples

You will find some interesting sample data in CSV (Knocker friendly) format as a part of the distribution.

- iris 4 numerical attributes, 3 classes, 150 records, suitable for ID3
- **mushroom** 22 categorical attributes, 2 classes, 8124 records, suitable for ID3 and CART it can take a lot of time!
- weather 4 categorical attributes, 2 classes, 14 records, suitable for ID3 and CART
- zoo 16 categorical attributes, 1 numerical attribute, 7 classes, 101 records, suitable for ID3

   the first column is unique (name of the animal), so it should not be used in a building process (but you can try it and you will see the problem)